

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: von Bergen et al.

Title: A MINIMAL TAU PEPTIDE FOR  
THE NUCLEATION OF PAIRED  
HELICAL FILAMENTS

Appl. No.: Not yet assigned

Filing Date: March 8, 2002

Examiner: Not yet assigned

Art Unit: Not yet assigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

In order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. §1.56, submitted herewith on Form PTO-1449 is a listing of documents cited in the International Search Report of Applicants' International Application No. PCT/EP00/08863. A copy of the International Search Report setting forth the portion of each reference considered relevant by the Examiner is attached.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

10/070611

JPO REQUESTS 08 MAR 2002

Atty. No. 029976-0101

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

**RELEVANCE OF EACH DOCUMENT**

Any document listed on the attached PTO-1449 was cited as being relevant during the prosecution of the corresponding International application. Copies of the documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 8 March 2002

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PATENT TRADEMARK OFFICE

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Form PTO-1449 (MODIFIED) <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	U.S. DEPARTMENT OF COMMERCE		ATTY. DOCKET NO.	SERIAL NO.
	PATENT AND TRADEMARK OFFICE		029976-0101	Not yet assigned
	APPLICANT		von Bergen et al.	
	FILING DATE		GROUP ART UNIT	
March 8, 2002		Not yet assigned		

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	A1	99/62548	12/09/1999	WIPO				
	A2	96/30766	10/03/1996	WIPO				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	A3	M. von Bergen et al., "Rapid Assembly of Microtubule-Associated Protein Tau into Alzheimer-like Paired Helical Filaments Monitored by Fluorescence in Solution," <i>Molecular Biology of the Cell</i> , 9(Suppl.):394A, XP000986927 (Abstract).
	A4	38th Annual Meeting of the American Society for Cell Biology; San Francisco, California, USA; December 12-16, 1998, ISSN: 1059-1524 (Abstract).
	A5	M. von Bergen et al., "Assembly of Tau Protein into Alzheimer paired helical filaments depends on a local sequence motif (306VQIVYK311) forming beta structure," <i>Proceedings of the National Academy of Sciences of the U.S.</i> 97(10): (2000), XP000982226 (Abstract).

EXAMINER	DATE CONSIDERED

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.